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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,999	03/05/2002	Lazlo Hangody	00167-367002	5136
7590 05/26/2005		EXAMINER		
JOEL E. PETROW			REIP, DAVID OWEN	
Smith & Nephew North America 1450 Brooks Road			ART UNIT	PAPER NUMBER
Memphis, TN	38116		3731	
			DATE MAILED: 05/26/2005	

Please find below and/or attached an Office communication concerning this application or proceeding.

# Application No. Applicant(s) 10/087,999 HANGODY ET AL. Interview Summary Examiner Art Unit 3731 David O. Reip All participants (applicant, applicant's representative, PTO personnel): (1) David O. Reip. (2) Scott Markow. Date of Interview: 17 May 2005. Type: a)⊠ Telephonic b)□ Video Conference c) Personal [copy given to: 1] applicant 2) applicant's representative Exhibit shown or demonstration conducted: d) Yes e)⊠ No. If Yes, brief description: \_\_\_\_\_. Claim(s) discussed: \_\_\_\_. Identification of prior art discussed: \_\_\_\_\_ Agreement with respect to the claims f) was reached. g) was not reached. h) $\times$ N/A. Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See attached supplemental action. (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.) THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

### **Summary of Record of Interview Requirements**

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

## Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)
In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

## **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

## SUPPLEMENTAL ACTION

On 5/17/05, Mr. Scott Markow, attorney for the applicant, contacted the examiner and expressed concern about the restriction and election of species included in an Office action mailed 1/24/05. Specifically, Mr. Markow felt that division of inventions may best be handled by a "species" election as opposed to a restriction, which would allow rejoinder of claims to non-elected species should the generic claim be found to be allowable. Upon reconsideration, the examiner agrees, and forwards the instant supplemental action. However, it is noted that this matter was called to the attention of the Office after the expiration of the time period for reply (set at 1 month) to the Office action mailed 1/24/05. Therefore, the period will not be restarted and any appropriate extension fee will be required to render a reply timely. See MPEP 710.06.

### Election/Restrictions

This application contains claims directed to the following patentably distinct "species" of instrument sets:

- Species 1 the combination of a director handle and a guide.
- Species 2 the combination of a director handle, a guide, and a guide wire.
- Species 3 the combination of a director handle, a guide, a guide wire, and a drill.
- Species 4 the combination of a director handle, a guide, a guide wire, a drill, and a delivery instrument.

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Species 5 - the combination of a director handle, a guide, a guide wire, a drill, a delivery instrument, and an insertion instrument.

- Species 6 the combination of a director handle, a guide, and a tube.
- Species 7 the combination of a director handle, a guide, and a drill.
- Species 8 the combination of a director handle, a guide, and an offset tool.
- Species 9 the combination of a director handle, a guide, a chisel, a chisel guard, and a tamp.
- Species 10 the combination of a director handle, a guide, and a tapered bone plug compressor.
- Species 11 the combination of a guide tube and a clamp body.
- Species 12 the combination of a guide tube, a clamp body, and a drill guide.
- Species 13 the combination of a guide tube, a clamp body, a drill guide, and a guide wire.

Further, the application discloses three different species of guides and two different species of clamp bodies, as follows:

- Species A Guide 105 with a fixed distal foot, as seen in Fig. 6
- Species B Guide 400 with a pivotable distal foot, as seen in Fig. 35.
- Species C Guide 520, as seen in Fig. 38.
- Species X Clamp body 600 having a lower arm with a fixed foot.
- Species Y Clamp body 600 having a lower arm with a pivotable foot.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. For example, an election of instrument set Species 1 with guide Species A would be expressed as an election of Species 1A. Further, an election of instrument set Species 1 with guide Species A and clamp body Species X would be expressed as an election of Species 1AX. Currently, claim 1 is generic.

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Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

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Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David O. Reip whose telephone number is 571-272-4702. The examiner can normally be reached on 7 A.M.- 4 P.M. Mon-Thu and every other Fri..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anhtuan Nguyen can be reached on 571-272-4963. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

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For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

David O. Reip Primary Examiner

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